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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of:  
Wen Yen Hwang *et al.*

Application No.: 09/993,239

Filed: November 13, 2001

Title: VCSEL with Ion-Implanted Current-  
Confinement Structure

§	Group Art Unit:	2881
§		
§		
§	Confirmation No.:	6902
§		
§		
§	Examiner:	Unassigned
§		
§	Atty Docket No:	PAT034US

TRANSMITTAL LETTER

COPY OF PAPER  
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BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231-0001

Dear Sir:

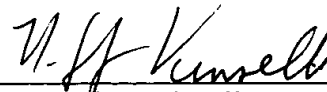
Enclosed for filing in the referenced case please find the following:

1. Response to Notice to File Missing Parts of Nonprovisional Application mailed December 7, 2001;
2. Combined Declaration and Power of Attorney;
3. Part 2 - Notice to File Missing Parts of Nonprovisional Application; and
4. Return Postcard.

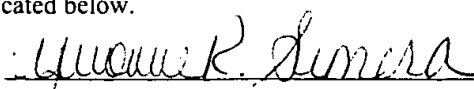
The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment, to Deposit Account No. 50-1705.

Respectfully submitted,

Date: December 17, 2001

  
 N. Stephan Kinsella  
 Registration No. 37,657  
 Applied Optoelectronics, Inc.  
 13111 Jess Pirtle Blvd.  
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I hereby certify this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231-0001 on the date indicated below.

By: 

Date: 12-17-01

SECTION #8



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,239	11/13/2001	Wen-Yen Hwang	PAT034US

CONFIRMATION NO. 6902

FORMALITIES LETTER



\*OC000000007172065\*

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Date Mailed: 12/07/2001

N. Stephan Kinsella  
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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/25/2002 SDIRETA1 00000002 501705 09993239

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Klaus Alexander Anselm*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE